

# Table of contents

- **Chapter 1 Basic Signal Parameters** (p. 1)
- **1.1 Introduction** (p. 1)
- **1.2 Characterization of a General Signal** (p. 2)
  - **1.2.1 By Bandwidth** (p. 3)
  - **1.2.2 By Time** (p. 3)
  - **1.2.3 By Sample Interval** (p. 4)
  - **1.2.4 By Number of Samples** (p. 4)
  - **1.2.5 By Number of Amplitude Levels or Signal Features** (p. 4)
  - **1.2.6 By Degrees of Freedom** (p. 5)
- **1.3 The Sample Function** (p. 5)
- **1.4 Examples of Signals** (p. 8)
- **1.5 Spatial Signals** (p. 8)
- **Chapter 2 Geometrical Optics** (p. 12)
  - **2.1 Introduction** (p. 12)
  - **2.2 Refractive Index and Optical Path** (p. 12)
  - **2.3 Basic Laws of Geometrical Optics** (p. 15)
    - **2.3.1 Law of Reflection** (p. 15)
    - **2.3.2 Law of Refraction** (p. 16)
    - **2.3.3 Fermat's Principle** (p. 17)
    - **2.3.4 The Critical Angle** (p. 19)
  - **2.4 Refraction by Prisms** (p. 20)
    - **2.4.1 Minimum Deviation Angle** (p. 20)
    - **2.4.2 Dispersion by a Prism** (p. 22)
    - **2.4.3 Beam Magnification by a Prism** (p. 22)
    - **2.4.4 Counter-Rotating Prisms** (p. 25)
    - **2.4.5 The Wobble Plate** (p. 25)
  - **2.5 The Lens Formulas** (p. 26)
    - **2.5.1 The Sign Convention** (p. 26)
    - **2.5.2 Refraction at a Curved Surface** (p. 28)
    - **2.5.3 The Refraction Equation for Combined Surfaces** (p. 30)
    - **2.5.4 The Condenser Lens Configuration** (p. 31)
    - **2.5.5 The Collimating Lens Configuration** (p. 33)
    - **2.5.6 Principal Planes** (p. 33)
    - **2.5.7 Thin-Lens Systems** (p. 35)
    - **2.5.8 Afocal or Telescopic Configurations** (p. 37)
  - **2.6 The General Imaging Condition** (p. 39)
    - **2.6.1 Ray Tracing** (p. 40)
    - **2.6.2 Lateral Magnification** (p. 43)
    - **2.6.3 The Principal Pupil Ray** (p. 44)
  - **2.7 The Optical Invariant** (p. 45)
    - **2.7.1 Magnification Revisited** (p. 48)
    - **2.7.2 Spatial Resolution** (p. 48)
    - **2.7.3 Space Bandwidth Product** (p. 50)
    - **2.7.4 Matching the Information Capacity of System Components** (p. 51)

- **2.8 Classification of Lenses and Systems** (p. 54)
- **2.8.1 The Coddington Shape Factor** (p. 54)
- **2.8.2 The Coddington Position Factor** (p. 56)
- **2.9 Aberrations** (p. 57)
- **2.9.1 Spherical Aberration** (p. 59)
- **2.9.2 Coma** (p. 62)
- **2.9.3 Astigmatism** (p. 63)
- **2.9.4 Curvature of Field** (p. 64)
- **2.9.5 Distortion** (p. 65)
- **2.9.6 Splitting the Lens** (p. 65)
- **Chapter 3 Physical Optics** (p. 71)
  - **3.1 Introduction** (p. 71)
  - **3.2 The Fresnel Transform** (p. 75)
    - **3.2.1 Convolution and Impulse Response** (p. 78)
    - **3.2.2 Diffraction by Two Sources** (p. 80)
    - **3.2.3 Fresnel Zones, Chirp Functions, and Holography** (p. 82)
    - **3.2.4 The Fresnel Transform of a Slit** (p. 88)
  - **3.3 The Fourier Transform** (p. 95)
    - **3.3.1 The Fourier Transform of a Periodic Function** (p. 95)
    - **3.3.2 The Fourier Transform for Nonperiodic Signals** (p. 96)
    - **3.3.3 The Fourier Transform in Optics** (p. 97)
    - **3.4 Examples of Fourier Transforms** (p. 100)
      - **3.4.1 Fourier Transforms of Aperture Functions** (p. 100)
      - **3.4.2 A Partitioned Aperture Function** (p. 101)
      - **3.4.3 A Periodic Signal** (p. 103)
    - **3.5 The Inverse Fourier Transform** (p. 103)
      - **3.5.1 Bandlimited Signals** (p. 105)
      - **3.5.2 Rayleigh-Resolution Criterion** (p. 105)
      - **3.5.3 Abbe's Resolution Criterion** (p. 106)
      - **3.5.4 The Sample Function, Sampling Theorem, and Decomposition** (p. 112)
    - **3.6 Extended Fourier-Transform Analysis** (p. 115)
      - **3.6.1 The Basic Elements of an Optical System** (p. 115)
      - **3.6.2 Operational Notation** (p. 117)
      - **3.6.3 A Basic Optical System** (p. 118)
      - **3.6.4 Cascaded Optical Systems** (p. 125)
      - **3.6.5 The Scale of the Fourier Transform** (p. 126)
    - **3.7 Maximum Information Capacity and Optimum Packing Density** (p. 128)
      - **3.7.1 Maximum Information Capacity** (p. 128)
      - **3.7.2 Optimum Packing Density** (p. 130)
      - **3.7.3 Convergent Illumination** (p. 132)
      - **3.7.4 The Chirp-Z Transform** (p. 133)
    - **3.8 System Coherence** (p. 134)
      - **3.8.1 Spatial Coherence** (p. 134)
      - **3.8.2 Temporal Coherence** (p. 137)
      - **3.8.3 Spatial and Temporal Coherence** (p. 140)
  - **Chapter 4 Spectrum Analysis** (p. 145)

- **4.1 Introduction** (p. 145)
- **4.2 Light Sources** (p. 146)
- **4.3 Spatial Light Modulators** (p. 147)
  - **4.3.1 Light Valve Spatial Light Modulators** (p. 148)
  - **4.3.2 Optically Addressed Electro-Optic Spatial Light Modulators** (p. 149)
  - **4.3.3 Liquid-Crystal Spatial Light Modulators** (p. 150)
  - **4.3.4 Magneto-Optic Spatial Light Modulators** (p. 151)
- **4.4 The Detection Process in the Fourier Domain** (p. 152)
  - **4.4.1 A Special Photodetector Array** (p. 152)
  - **4.4.2 Spectral Responsivity and Typical Power Levels** (p. 155)
  - **4.4.3 The Number of Photodetector Elements** (p. 156)
  - **4.4.4 Array Geometry** (p. 156)
  - **4.4.5 Readout Rate** (p. 158)
  - **4.4.6 Blooming and Electrical Crosstalk** (p. 158)
  - **4.4.7 Linearity and Uniformity of Response** (p. 159)
- **4.5 System Performance Parameters** (p. 159)
  - **4.5.1 Total Spatial Frequency Bandwidth** (p. 160)
  - **4.5.2 Sidelobe Control and Crosstalk** (p. 160)
  - **4.5.3 Frequency Resolution/Photodetector Spacing** (p. 163)
  - **4.5.4 Array Spacing and Number of Photodetector Elements** (p. 168)
- **4.6 Dynamic Range** (p. 171)
  - **4.6.1 Intermodulation Products** (p. 172)
  - **4.6.2 Signal-to-Noise Ratio and the Minimum Signal Level** (p. 173)
  - **4.6.3 Integration Time/Bandwidth** (p. 179)
  - **4.6.4 Example** (p. 179)
  - **4.6.5 Quantum Noise Limit** (p. 180)
- **4.7 Raster-Format Spectrum Analyzer** (p. 183)
  - **4.7.1 The Recording Format** (p. 183)
  - **4.7.2 The Two-Dimensional Spectrum Analyzer** (p. 188)
  - **4.7.3 Illustration of Raster-Format Spectra** (p. 193)
- **4.8 Summary of the Main Design Concepts** (p. 194)
- **Chapter 5 Spatial Filtering** (p. 200)
  - **5.1 Introduction** (p. 200)
  - **5.2 Some Fundamentals of Signal Processing** (p. 200)
    - **5.2.1 Linear, Space-Invariant Systems** (p. 201)
    - **5.2.2 Parseval's Theorem** (p. 203)
    - **5.2.3 Correlation** (p. 203)
    - **5.2.4 Input/Output Spectral Densities** (p. 205)
    - **5.2.5 Matched Filtering** (p. 207)
    - **5.2.6 Inverse Filtering** (p. 209)
  - **5.3 Spatial Filters** (p. 210)
  - **5.4 Binary Spatial Filters** (p. 212)
    - **5.4.1 Binary Filters for Signal Detection or Excision** (p. 213)
    - **5.4.2 Other Applications of Binary Filters** (p. 214)
  - **5.5 Magnitude Spatial Filters** (p. 214)
  - **5.6 Phase Spatial Filters** (p. 216)

- **5.7 Real-Valued Spatial Filters** (p. 218)
- **5.8 Experimental Examples** (p. 219)
- **5.9 The Spatial Carrier Frequency Filter** (p. 223)
- **5.10 Interferometric Methods for Constructing Filters** (p. 224)
- **5.10.1 Limitations of the Mach-Zehnder Interferometer** (p. 229)
- **5.10.2 The Rayleigh Interferometer** (p. 229)
- **5.10.3 The Minimum-Aperture Interferometer** (p. 230)
- **5.11 Information Processing** (p. 231)
- **5.12 Arbitrary Reference Function** (p. 236)
- **5.13 Bandwidth Considerations** (p. 237)
- **5.14 Multiplexed Filters** (p. 238)
- **5.15 Computer Generated Filters** (p. 240)
- **5.16 Reference Function Optical Processors** (p. 240)
- **Chapter 6 Spatial Filtering Systems** (p. 247)
  - **6.1 Introduction** (p. 247)
  - **6.2 Optical Signal Processor and Filter Generator** (p. 247)
    - **6.2.1 The Light Source** (p. 249)
    - **6.2.2 The Spatial Light Modulator** (p. 251)
    - **6.2.3 The Fourier Transform Lens** (p. 252)
    - **6.2.4 The Filter Plane** (p. 253)
    - **6.2.5 The Imaging Lens** (p. 254)
  - **6.3 The Readout Module** (p. 255)
    - **6.3.1 The Thresholding Operation** (p. 255)
    - **6.3.2 The Importance of Nonoverlapping Signals** (p. 256)
    - **6.3.3 On-Chip Processing** (p. 258)
    - **6.3.4 Constant False-Alarm Rate** (p. 259)
  - **6.4 The Reference-to-Signal-Beam Ratio** (p. 261)
  - **6.5 Orientation and Scale-Searching Operations** (p. 263)
    - **6.5.1 The Orientation Search** (p. 263)
    - **6.5.2 The Scale Search** (p. 266)
  - **6.6 Methods for Handling Nonuniform Noise Spectral Densities** (p. 267)
    - **6.6.1 Dual Frequency-Plane Processing** (p. 268)
    - **6.6.2 Transposed Processing for Adaptive Filtering** (p. 270)
  - **6.7 Other Applications for Optical Spatial Filtering** (p. 273)
    - **6.7.1 Target Recognition** (p. 273)
    - **6.7.2 Motion Analysis** (p. 273)
    - **6.7.3 Frame Alignment and Stereo Compilation** (p. 277)
  - **6.8 The Effects of Small Displacements of Spatial Filters** (p. 279)
    - **6.8.1 Lateral Displacement** (p. 279)
    - **6.8.2 Longitudinal Displacements** (p. 283)
    - **6.8.3 Random Motion of the Filter** (p. 284)
- **Chapter 7 Acousto-Optic Devices** (p. 288)
  - **7.1 Introduction** (p. 288)
  - **7.2 Acousto-Optic Cell Spatial Light Modulators** (p. 288)
    - **7.2.1 Raman-Nath Mode** (p. 290)
    - **7.2.2 The Bragg Mode** (p. 292)

- **7.2.3 Diffraction Angles, Spatial Frequencies, and Temporal Frequencies** (p. 294)
- **7.2.4 The Time Bandwidth Product** (p. 296)
- **7.3 Dynamic Transfer Relationships** (p. 297)
- **7.3.1 Diffraction Efficiency** (p. 297)
- **7.3.2 Input/Output Relationships** (p. 299)
- **7.4 Time Delays and Notation** (p. 301)
- **7.5 Phase-Modulation Notation** (p. 301)
- **7.6 Sign Notation** (p. 303)
- **7.7 Conjugate Relationships** (p. 305)
- **7.8 Visualization of the Acousto-Optic Interaction** (p. 305)
- **7.9 Applications of Acousto-Optic Devices** (p. 307)
- **7.9.1 Acousto-Optic Modulation** (p. 307)
- **7.9.2 Acousto-Optic Beam Deflectors** (p. 309)
- **Chapter 8 Acousto-Optic Power Spectrum Analyzers** (p. 335)
- **8.1 Introduction** (p. 335)
- **8.2 A Basic Spectrum Analyzer** (p. 336)
- **8.2.1 The Illumination Subsystem** (p. 338)
- **8.2.2 A Raman-Nath-Mode Spectrum Analyzer** (p. 340)
- **8.2.3 A Bragg-Mode Spectrum Analyzer** (p. 344)
- **8.2.4 The Generalization to Arbitrary Signals** (p. 346)
- **8.3 Aperture Weighting for Sidelobe Control** (p. 347)
- **8.4 Resolution** (p. 348)
- **8.5 Dynamic Range and Signal-to-Noise Ratio** (p. 350)
- **8.6 Spur-Free Dynamic Range** (p. 355)
- **8.6.1 Intermodulation Products Due to Acousto-Optic Cells** (p. 355)
- **8.6.2 Signal Compression** (p. 358)
- **8.6.3 Scattered Light** (p. 359)
- **8.7 Photodetector Geometric Considerations** (p. 360)
- **8.8 Example** (p. 361)
- **8.9 The Signal-to-Noise Ratio** (p. 362)
- **8.10 Radiometers** (p. 363)
- **8.11 Summary of the Main Design Concepts** (p. 365)
- **Chapter 9 Heterodyne Systems** (p. 369)
- **9.1 Introduction** (p. 369)
- **9.2 The Interference Between Two Waves** (p. 370)
- **9.2.1 Spatial Interference** (p. 370)
- **9.2.2 Temporal and Spatial Interference** (p. 372)
- **9.3 Overlapping Waves and Photodetector Size** (p. 374)
- **9.3.1 Optimum Photodetector Size for Plane-Wave Interference** (p. 375)
- **9.3.2 Optimum Photodetector Size for a Two-Dimensional Chirp** (p. 378)
- **9.3.3 Optimum Photodetector Size for a One-Dimensional Chirp** (p. 380)
- **9.3.4 Optimum Photodetector Size for a General Signal** (p. 381)
- **9.4 The Optical Radio** (p. 384)
- **9.4.1 Direct Detection** (p. 385)
- **9.4.2 Heterodyne Detection** (p. 386)

- **9.5 A Generalized Heterodyne System** (p. 393)
- **Chapter 10 Heterodyne Spectrum Analysis** (p. 397)
  - **10.1 Introduction** (p. 397)
  - **10.2 Basic Theory** (p. 398)
  - **10.3 Spatial and Temporal Frequencies: The Mixed Transform** (p. 400)
    - **10.3.1 The cw Signal** (p. 401)
    - **10.3.2 A Short Pulse** (p. 403)
    - **10.3.3 The Evolving Pulse** (p. 404)
  - **10.4 The Distributed Local Oscillator** (p. 408)
    - **10.4.1 The Ideal Reference Signal** (p. 408)
    - **10.4.2 The Mixed Transform of the Reference Signal** (p. 412)
  - **10.5 Photodetector Geometry and Bandwidth** (p. 414)
    - **10.5.1 The Bandpass Filter Shape** (p. 416)
    - **10.5.2 Crosstalk** (p. 418)
    - **10.5.3 Resolution, Accuracy, and Photodetector Size** (p. 420)
  - **10.6 Temporal Frequencies of the Reference Bias Term** (p. 420)
  - **10.7 Dynamic Range** (p. 422)
  - **10.8 Comparison of the Heterodyne and Power Spectrum Analyzer Performance** (p. 427)
    - **10.8.1 Both Systems Thermal-Noise Limited** (p. 428)
    - **10.8.2 Both Systems Shot-Noise Limited** (p. 428)
    - **10.8.3 Power Spectrum Analyzer Thermal-Noise Limited; Heterodyne Spectrum Analyzer Shot-Noise Limited** (p. 429)
  - **10.8.4 Power Spectrum Analyzer Using a CCD Array** (p. 429)
  - **10.9 Hybrid Heterodyne Spectrum Analyzer** (p. 430)
- **Chapter 11 Decimated Arrays and Cross-Spectrum Analysis** (p. 433)
  - **11.1 Introduction** (p. 433)
  - **11.2 Background for the Heterodyne Spectrum Analyzer** (p. 433)
  - **11.3 Photodetector Geometry and Detection Scheme** (p. 435)
  - **11.4 The Reference and Scanning Functions** (p. 438)
  - **11.5 Singal-to-Noise Ratio and Dynamic Range** (p. 440)
  - **11.6 Improved Reference Waveform** (p. 442)
  - **11.7 The Cross-Spectrum Analyzer** (p. 445)
    - **11.7.1 Cross-Spectrum Analysis with Spatial Heterodyning** (p. 446)
    - **11.7.2 Cross-Spectrum Analysis with Temporal Heterodyning** (p. 448)
- **Chapter 12 The Heterodyne Transform and Signal Excision** (p. 454)
  - **12.1 Introduction** (p. 454)
  - **12.2 The Heterodyne Transform** (p. 454)
  - **12.3 The Temporal Frequency Range of the Baseband Terms** (p. 458)
  - **12.4 Probing Arbitrary Three-Dimensional Fields** (p. 461)
  - **12.5 Signal Excision** (p. 465)
  - **12.6 Arbitrary Filter Function** (p. 472)
- **Chapter 13 Space-Integrating Correlators** (p. 477)
  - **13.1 Introduction** (p. 477)
  - **13.2 Reference-Function Correlators** (p. 478)
    - **13.2.1 Real-Valued Impulse Responses** (p. 481)

- **13.2.2 Complex-Valued Impulse Responses** (p. 482)
- **13.2.3 A Wavefront View of Matched Filtering** (p. 483)
- **13.2.4 The Photodetector Bandwidth** (p. 486)
- **13.2.5 Correlation in the Presence of Doppler Frequency Shifts** (p. 486)
- **13.2.6 Programmable Matched Filter** (p. 488)
- **13.3 Multichannel Operation** (p. 488)
- **13.4 Heterodyne/Homodyne Detection** (p. 490)
- **13.5 Homodyne Detection in the Fourier Domain** (p. 493)
- **13.6 Heterodyne Detection** (p. 496)
- **13.7 Carrier Frequency Requirements** (p. 497)
- **13.8 Illumination Requirements** (p. 498)
- **13.9 Integrate and Dump** (p. 499)
- **13.10 Some More Configurations** (p. 500)
- **Chapter 14 Time-Integrating Systems** (p. 504)
  - **14.1 Introduction** (p. 504)
  - **14.2 Spectrum Analysis** (p. 505)
    - **14.2.1 Requirements on the Reference Signals** (p. 506)
    - **14.2.2 The Basic Operation of the Spectrum Analyzer** (p. 510)
    - **14.2.3 The Key Features of the Time-Integrating Spectrum Analyzer** (p. 513)
  - **14.3 Time-Integrating Correlation** (p. 515)
    - **14.3.1 Time-Integrating Correlator Due to Montgomery** (p. 517)
    - **14.3.2 Time-Integrating Correlator Due to Sprague and Koliopoulos** (p. 520)
  - **14.4 Electronic Reference Correlator** (p. 526)
  - **14.5 Comparison of Features** (p. 529)
  - **14.6 Integrated Optical Systems** (p. 530)
- **Chapter 15 Two-Dimensional Processing** (p. 536)
  - **15.1 Introduction** (p. 536)
  - **15.2 Triple-Product Processing** (p. 537)
  - **15.3 Crossed Acousto-Optic Cell Geometry** (p. 540)
  - **15.4 The Bispectrum** (p. 543)
  - **15.5 Spectrum Analysis** (p. 545)
    - **15.5.1 Real-Time Raster-Format Spectrum Analysis** (p. 545)
    - **15.5.2 Frequency Resolution** (p. 547)
    - **15.5.3 Experimental Results** (p. 548)
  - **15.6 Ambiguity Function Generation** (p. 549)
    - **15.6.1 Ambiguity Function for a cw Signal** (p. 552)
    - **15.6.2 Ambiguity Function for a Short-Pulse Signal** (p. 553)
    - **15.6.3 Ambiguity Function for an Infinite Time Duration Chirp Signal** (p. 555)
  - **15.7 Wigner-Ville Distributions** (p. 556)
  - **15.8 Range and Doppler Signal Processing** (p. 557)
  - **15.9 Optical Transversal Processor for Notch Filtering** (p. 560)
    - **15.9.1 Sampled Time Analysis** (p. 561)
    - **15.9.2 Continuous-Time Analysis** (p. 562)
    - **15.9.3 A Frequency Plane Implementation** (p. 564)
  - **15.10 Phased Array Processing** (p. 566)
- **Appendix I** (p. 570)

- **Appendix II** (p. 571)
- **References** (p. 574)
- **Bibliography** (p. 582)