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Sandro Skansi

# Introduction to Deep Learning

From Logical Calculus to Artificial Intelligence

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## Preface

This textbook contains no new scientific results, and my only contribution was to compile existing knowledge and explain it with my examples and intuition. I have made a great effort to cover everything with citations while maintaining a fluent exposition, but in the modern world of the ‘electron and the switch’ it is very hard to properly attribute all ideas, since there is an abundance of quality material online (and the online world became very dynamic thanks to the social media). I will do my best to correct any mistakes and omissions for the second edition, and all corrections and suggestions will be greatly appreciated.

This book uses the feminine pronoun to refer to the reader regardless of the actual gender identity. Today, we have a highly imbalanced environment when it comes to artificial intelligence, and the use of the feminine pronoun will hopefully serve to alleviate the alienation and make the female reader feel more at home while reading this book.

Throughout this book, I give historical notes on when a given idea was first discovered. I do this to credit the idea, but also to give the reader an intuitive timeline. Bear in mind that this timeline can be deceiving, since the time an idea or technique was first invented is not necessarily the time it was adopted as a technique for machine learning. This is often the case, but not always.

This book is intended to be a first introduction to deep learning. Deep learning is a special kind of learning with deep artificial neural networks, although today deep learning and artificial neural networks are considered to be the same field. Artificial neural networks are a subfield of machine learning which is in turn a subfield of both statistics and artificial intelligence (AI). Artificial neural networks are vastly more popular in artificial intelligence than in statistics. Deep learning today is not happy with just addressing a subfield of a subfield, but tries to make a run for the whole AI. An increasing number of AI fields like reasoning and planning, which were once the bastions of logical AI (also called the *Good Old-Fashioned AI*, or GOF AI), are now being tackled successfully by deep learning. In this sense, one might say that deep learning is an approach in AI, and not just a subfield of a subfield of AI.

There is an old idea from Kendo<sup>1</sup> which seems to find its way to the new world of cutting-edge technology. The idea is that you learn a martial art in four stages: big, strong, fast, light. ‘Big’ is the phase where all movements have to be big and correct. One here focuses on correct techniques, and one’s muscles adapt to the new movements. While doing big movements, they unconsciously start becoming strong. ‘Strong’ is the next phase, when one focuses on strong movements. We have learned how to do it correctly, and now we add strength, and subconsciously they become faster and faster. While learning ‘Fast’, we start ‘cutting corners’, and adopt a certain ‘parsimony’. This parsimony builds ‘Light’, which means ‘just enough’. In this phase, the practitioner is a master, who does everything correctly, and movements can shift from strong to fast and back to strong, and yet they seem effortless and light. This is the road to mastery of the given martial art, and to an art in general. Deep learning can be thought of an art in this metaphorical sense, since there is an element of continuous improvement. The present volume is intended not to be an all-encompassing reference, but it is intended to be the textbook for the “big” phase in deep learning. For the strong phase, we recommend [1], for the fast we recommend [2] and for the light phase, we recommend [3]. These are important works in deep learning, and a well-rounded researcher should read them all.

After this, the ‘fellow’ becomes a ‘master’ (and mastery is not the end of the road, but the true beginning), and she should be ready to tackle research papers, which are best found on [arxiv.com](http://arxiv.com) under ‘Learning’. Most deep learning researchers are very active on [arxiv.com](http://arxiv.com), and regularly publish their preprints. Be sure to check out also ‘Computation and Language’, ‘Sound’ and ‘Computer Vision’ categories depending on your desired specialization direction. A good practice is just to put the desired category on your web browser home screen and check it daily. Surprisingly, the [arxiv.com](http://arxiv.com) ‘Neural and Evolutionary Computation’ is not the best place for finding deep learning papers, since it is a rather young category, and some researchers in deep learning do not tag their work with this category, but it will probably become more important as it matures.

The code in this book is Python 3, and most of the code using the library Keras is a modified version of the codes presented in [2]. Their book<sup>2</sup> offers a lot of code and some explanations with it, whereas we give a modest amount of code, rewritten to be intuitive and comment on it abundantly. The codes we offer have all been extensively tested, and we hope they are in working condition. But since this book is an introduction and we cannot assume the reader is very familiar with coding deep architectures, I will help the reader troubleshoot all the codes from this book. A complete list of bug fixes and updated codes, as well as contact details for submitting new bugs are available at the book’s repository [github.com/skansi/dl\\_book](https://github.com/skansi/dl_book), so please check the list and the updated version of the code before submitting a new bug fix request.

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<sup>1</sup>A Japanese martial art similar to fencing.

<sup>2</sup>This is the only book that I own two copies of, one eBook on my computer and one hard copy—it is simply that good and useful.

Artificial intelligence as a discipline can be considered to be a sort of ‘philosophical engineering’. What I mean by this is that AI is a process of taking philosophical ideas and making algorithms that implement them. The term ‘philosophical’ is taken broadly as a term which also encompasses the sciences which recently<sup>3</sup> became independent sciences (psychology, cognitive science and structural linguistics), as well as sciences that are hoping to become independent (logic and ontology<sup>4</sup>).

Why is philosophy in this broad sense so interesting to replicate? If you consider what topics are interesting in AI, you will discover that AI, at the most basic level, wishes to replicate philosophical concepts, e.g. to build machines that can think, know stuff, understand meaning, act rationally, cope with uncertainty, collaborate to achieve a goal, handle and talk about objects. You will rarely see a definition of an AI agent using non-philosophical terms such as ‘a machine that can route internet traffic’, or ‘a program that will predict the optimal load for a robotic arm’ or ‘a program that identifies computer malware’ or ‘an application that generates a formal proof for a theorem’ or ‘a machine that can win in chess’ or ‘a subroutine which can recognize letters from a scanned page’. The weird thing is, all of these are actual historical AI applications, and machines such as these always made the headlines.

But the problem is, once we got it to work, it was no longer considered ‘intelligent’, but merely an elaborate computation. AI history is full of such examples.<sup>5</sup> The systematic solution of a certain problem requires a full formal specification of the given problem, and after a full specification is made, and a known tool is applied to it,<sup>6</sup> it stops being considered a mystical human-like machine and starts being considered ‘mere computation’. Philosophy deals with concepts that are inherently tricky to define such as knowledge, meaning, reference, reasoning, and all of them are considered to be essential for intelligent behaviour. This is why, in a broad sense, AI is the engineering of philosophical concepts.

But do not underestimate the engineering part. While philosophy is very prone to reexamining ideas, engineering is very progressive, and once a problem is solved, it is considered done. AI has the tendency to revisit old tasks and old problems (and this makes it very similar to philosophy), but it does require measurable progress, in the sense that new techniques have to bring something new (and this is its

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<sup>3</sup>Philosophy is an old discipline, dating back at least 2300 years, and ‘recently’ here means ‘in the last 100 years’.

<sup>4</sup>Logic, as a science, was considered independent (from philosophy and mathematics) by a large group of logicians for at least since Willard Van Orman Quine’s lectures from the 1960s, but thinking of ontology as an independent discipline is a relatively new idea, and as far as I was able to pinpoint it, this intriguing and promising initiative came from professor Barry Smith from the Department of Philosophy of the University of Buffalo.

<sup>5</sup>John McCarthy was amused by this phenomenon and called it the ‘look ma’, no hands’ period of AI history, but the same theme keeps recurring.

<sup>6</sup>Since new tools are presented as new tools for existing problems, it is not very common to tackle a new problem with newly invented tools.

engineering side). This novelty can be better results than the last result on that problem,<sup>7</sup> the formulation of a new problem<sup>8</sup> or results below the benchmark but which can be generalized to other problems as well.

Engineering is progressive, and once something is made, it is used and built upon. This means that we do not have to re-implement everything anew—there is no use in reinventing the wheel. But there is value to be gained in understanding the idea behind the invention of the wheel and in trying to make a wheel by yourself. In this sense, you should try to recreate the codes we will be exploring, and see how they work and even try to re-implement a completed Keras layer in plain Python. It is quite probable that if you manage your solution will be considerably slower, but you will have gained insight. When you feel you understand it as much as you would like, you should just use Keras or any other framework as building bricks to go on and build more elaborate things.

In today’s world, everything worth doing is a team effort and every job is then divided in parts. My part of the job is to get the reader started in deep learning. I would be proud if a reader would digest this volume, put it on a shelf, become and active deep learning researcher and never consult this book again. To me, this would mean that she has learned everything there was in this book and this would entail that my part of the job of getting one started<sup>9</sup> in deep learning was done well. In philosophy, this idea is known as Wittgenstein’s ladder, and it is an important practical idea that will supposedly help you in your personal exploration–exploitation balance.

I have also placed a few Easter eggs in this volume, mainly as unusual names in examples. I hope that they will make reading more lively and enjoyable. For all who wish to know, the name of the dog in Chap. 3 is Gabi, and at the time of publishing, she will be 4 years old. This book is written in plural, following the old academic custom of using *pluralis modestiae*, and hence after this preface I will no longer use the singular personal pronoun, until the very last section of the book.

I would wish to thank everyone who has participated in any way and made this book possible. In particular, I would like to thank Siniša Urošev, who provided valuable comments and corrections of the mathematical aspects of the book, and to Antonio Šajatović, who provided valuable comments and suggestions regarding memory-based models. Special thanks go to my wife Ivana for all the support she gave me. I hold myself (and myself alone) responsible for any omissions or mistakes, and I would greatly appreciate all feedback from readers.

Zagreb, Croatia

Sandro Skansi

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<sup>7</sup>This is called the *benchmark* for a given problem, it is something you must surpass.

<sup>8</sup>Usually in the form of a new dataset constructed from a controlled version of a philosophical problem or set of problems. We will have an example of this in the later chapters when we will address the bAbI dataset.

<sup>9</sup>Or, perhaps, ‘getting initiated’ would be a better term—it depends on how fond will you become of deep learning.



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